

IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Gang Gu et al.

SERIAL NO.:

10/723,117

CONF. NO.:

1307

FILING DATE:

November 26, 2003

TITLE:

Nanotube Chemical Sensor Based on Work Function of Electrodes

EXAMINER:

Kaj K. Olsen

ART UNIT:

1753

ATTY. DKT. NO:

PA2819US (formerly 006161.P001)

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT Under 37 C.F.R. §§ 1.56, and 1.97-1.98

10/27/2004 HVUDNG1 00000016 10723117

01 FC:1806

180.00 OP

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is a list of co-pending applications, listing a reference. The Examiner is requested to make this reference of official record in the application. The reference cited may be material to examination of the application and

are submitted in compliance with Applicant(s)'s duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Applicants hereby attach the \$180 fee required by 37 C.F.R. §1.17(p) with this submission. The Commissioner is hereby authorized to charge Deposit Account No. 06-0600 for any additional fees that may be required, or credit our account for any overpayment charge. A copy of this statement is submitted in duplicate for this purpose.

Respectfully submitted, Gang Gu et al.

Dated:October 20, 2004

Robert D. Hayden, Reg. No. 42,645

Carr & Ferrell LLP

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

Dated: October 20, 2004

Robert D. Hayden/Reg. No. 42,645

OCT : 2 6 2004 6

PTO/SB/17 (10-04)
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FEE	TR	AN	SMI	T	TAL
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Effective 10/01/2004. Patent fees are subject to annual revision.

✓ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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(D)	100.	UU.

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Complete if Known						
Application Number	10/723,117					
Filing Date	November 26, 2003					
First Named Inventor	Gang Gu					
Examiner Name	Kaj K. Olsen					
Art Unit	1753					
Attorney Docket No.	PA2819US					

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
✓ Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account:			Small			
Deposit Account 06-0600	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account Carr & Ferrell LLP	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1.840*	Requesting publication of SIR after	
to the above-identified deposit account.				.,	Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	430	2252	215	Extension for reply within second month	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid	1253	980	2253		Extension for reply within third month	
Code (\$) Code (\$)		1,530	2254	765	Extension for reply within fourth month	
1001 790 2001 395 Utility filing fee	1255	2,080	2255	,	Extension for reply within fifth month	\vdash
1002 350 2002 175 Design filing fee	1401	340	2401	170	Notice of Appeal	
1003 550 2003 275 Plant filing fee	1402	340	2402		Filing a brief in support of an appeal	
1004 790 2004 395. Reissue filing fee	1403	300	2403	150	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1	1,510	1451		Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,330	2453	665	Petition to revive - unintentional	
Fee from	1501 1502	1,370	2501		Utility issue fee (or reissue)	<u> </u>
Total Claims Independent Claims Multiple Dependent Extra Claims below Fee Paid -20**==		490	2502		Design issue fee	
		660	2503		Plant issue fee	
		130	1460		Petitions to the Commissioner	
Large Entity Small Entity	1807	50	1807		Processing fee under 37 CFR 1.17(q)	180
Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt Recording each patent assignment per	
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	8021	40	8021	1 40	property (times number of properties)	
1202 16 2202 9 Claims in excess of 20 1201 88 2201 44 Independent claims in excess of 3	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 300 2203 150 Multiple dependent claim, if not paid	1810	790	2810	395	For each additional invention to be	
1204 88 2204 44 ** Reissue independent claims	1801	700	2004	20-	examined (37 CFR 1.129(b))	
over original patent		790 900	2801 1802	395 900	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1002	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$)	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	*Redu	ced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$) 180.0	00

SUBMITTED BY		(Complete (if applicable))			
Name (Print/Type)	Robert D. Hayd		gistration No. 42,645	Telephone	650-812-3465
Signature	Ala F	Hay		Date	October 20, 2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.